

THIS SUPPLEMENTAL MASTER PROSPECTUS IS TO BE READ IN CONJUNCTION WITH THE MASTER PROSPECTUS DATED 1 OCTOBER 2014

This Supplemental Master Prospectus is dated 7 September 2015 (“Supplemental Master Prospectus”) and will expire on 30 September 2015 and must be read together with the Master Prospectus dated 1 October 2014 (“Master Prospectus”)

TA Growth Fund
(constituted on 27 June 1996)

TA Global Asset Allocator Fund
(constituted on 17 May 2006)

TA Comet Fund
(constituted on 20 September 1999)

TA Asia Pacific Islamic Balanced Fund
(constituted on 6 October 2006)

TA Islamic Fund
(constituted on 6 April 2001)

TA European Equity Fund
(constituted on 5 February 2007)

TA Income Fund
(constituted on 14 March 2002)

TA Asian Dividend Income Fund
(constituted on 26 June 2007)

TA Small Cap Fund
(constituted on 22 December 2003)

TA Dana Fokus
(constituted on 19 March 2008)

TA High Growth Fund
(constituted on 25 May 2004)

TA All-Cycle Commodities Income Fund
(constituted on 2 March 2009)

TA Dana OptiMix
(constituted on 31 December 2004)

TA BRIC & Emerging Markets Fund
(constituted on 5 January 2010)

TA CashPLUS Fund
(constituted on 2 June 2005)

TA Global Technology Fund
(constituted on 4 March 2011)

TA Islamic CashPLUS Fund
(constituted on 2 June 2005)

TA Total Return Fixed Income Fund
(constituted on 26 July 2012)

TA South East Asia Equity Fund
(constituted on 7 November 2005)

TA Asia Pacific REITs Income Fund
(constituted on 21 June 2013)

(Hereinafter collectively referred to as “the Funds”)

Manager : TA Investment Management Berhad (340588-T)

Trustee : CIMB Commerce Trustee Berhad (313031-A)
Maybank Trustees Berhad (5004-P)
Universal Trustee (Malaysia) Berhad (17540-D)

INVESTORS ARE ADVISED TO READ AND UNDERSTAND THE CONTENTS OF THIS SUPPLEMENTAL MASTER PROSPECTUS DATED 7 SEPTEMBER 2015 AND THE MASTER PROSPECTUS DATED 1 OCTOBER 2014. IF IN DOUBT, PLEASE CONSULT A PROFESSIONAL ADVISER.

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Responsibility Statements

This Supplemental Master Prospectus has been reviewed and approved by the directors of TA Investment Management Berhad and they collectively and individually accept full responsibility for the accuracy of the information. Having made all reasonable enquiries, they confirm to the best of their knowledge and belief, that there are no false or misleading statements, or omission of other facts which would make any statement in this Supplemental Master Prospectus false or misleading.

Statements of Disclaimer

The Securities Commission Malaysia has authorised the Funds and a copy of this Supplemental Master Prospectus has been registered with the Securities Commission Malaysia.

The authorisation of the Funds, and the registration of this Supplemental Master Prospectus, should not be taken to indicate that Securities Commission Malaysia recommends the said Funds or assumes responsibility for the correctness of any statement made, opinion expressed or report contained in this Supplemental Master Prospectus.

The Securities Commission Malaysia is not liable for any non-disclosure on the part of TA Investment Management Berhad, the management company responsible for the said Funds and takes no responsibility for the contents in this Supplemental Master Prospectus. The Securities Commission Malaysia makes no representation on the accuracy or completeness of this Supplemental Master Prospectus, and expressly disclaims any liability whatsoever arising from, or in reliance upon, the whole or any part of its contents.

INVESTORS SHOULD RELY ON THEIR OWN EVALUATION TO ASSESS THE MERITS AND RISKS OF THE INVESTMENT. IF INVESTORS ARE UNABLE TO MAKE THEIR OWN EVALUATION, THEY ARE ADVISED TO CONSULT PROFESSIONAL ADVISERS.

Additional Statements

No units will be issued or sold based on this Supplemental Master Prospectus after the expiry date of the Master Prospectus which is on 30 September 2015.

Investors should note that they may seek recourse under the *Capital Markets and Services Act 2007* for breaches of securities laws and regulations including any statement in the Supplemental Master Prospectus that is false, misleading, or from which there is a material omission; or for any misleading or deceptive act in relation to this Supplemental Master Prospectus or the conduct of any other person in relation to the Funds.

TA Islamic Fund, TA Dana OptiMix, TA Islamic CashPLUS Fund, TA Asia Pacific Islamic Balanced Fund, TA Dana Fokus and TA BRIC and Emerging Markets Fund have been certified as being Shariah-compliant by the Shariah adviser appointed for the Funds.

THIS SUPPLEMENTAL MASTER PROSPECTUS IS TO BE READ IN CONJUNCTION WITH THE MASTER PROSPECTUS DATED 1 OCTOBER 2014

Unless otherwise provided in this Supplemental Master Prospectus, all the capitalised terms used herein shall have the same meanings as ascribed to them in the Master Prospectus.

A. CHAPTER 2 : CORPORATE DIRECTORY

Page 11 of the Master Prospectus

The details of auditors are hereby amended as follows:

Auditors (for TIF and TAGAAF)

Ernst & Young

Business Address:

Level 23A, Menara Milenium

Jalan Damanlela

Pusat Bandar Damansara

50490 Kuala Lumpur

Tel : 603 - 7495 8000 Fax : 603 - 2095 9076

Auditors (for TAGF, TACF, TAIF, TASF, TAHGF, TADO, TACP, TAICP, TASEA, TAIB, TAEURO, TADIF, TADF, TAACCI, TABRIC, TAGTF, TATRFIF and TAREITs)

KPMG

Business Address:

Level 10, KPMG Tower

8, First Avenue, Bandar Utama

47800 Petaling Jaya, Selangor

Tel: 603 - 7721 3388 Fax: 603 - 7721 3399

**THIS SUPPLEMENTAL MASTER PROSPECTUS IS TO BE READ IN CONJUNCTION WITH THE
MASTER PROSPECTUS DATED 1 OCTOBER 2014**

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In accordance with the requirements of the Capital Markets and Services Act 2007, this Form should not be circulated unless accompanied by the Prospectus(es)/ Information Memorandum(s) and Supplemental(s) (if any). Investors are required to read and understand the contents in the Prospectus(es)/ Information Memorandum(s) and Supplemental(s) (if any) of the Funds before completing this Form.

Complete in **BLOCK LETTERS**, preferably in **BLACK INK** and tick (✓) where applicable.

Individual/Joint Cash EPF
 Corporate

1. INDIVIDUAL – PRINCIPAL APPLICANT

Full Name (as in NRIC/ Passport) :		NRIC/ Passport/ Others :	
Date of Birth (DD / MM / YYYY)	Gender <input type="checkbox"/> Male <input type="checkbox"/> Female	Marital Status <input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Others	Nationality <input type="checkbox"/> Malaysian, Bumiputra <input type="checkbox"/> Non Malaysian(Country/Citizenship) <input type="checkbox"/> Malaysian, Non Bumiputra <input type="checkbox"/> US Citizen/Resident/Permanent Resident/Green Card Holder <i>Kindly complete the attached FATCA Declaration Form</i>
Race <input type="checkbox"/> Malay <input type="checkbox"/> Chinese <input type="checkbox"/> Indian <input type="checkbox"/> Others	Occupation <input type="checkbox"/> Student <input type="checkbox"/> Non- Executive <input type="checkbox"/> Executive <input type="checkbox"/> Management <input type="checkbox"/> Director <input type="checkbox"/> Businessman <input type="checkbox"/> Housewife/Pensioner <input type="checkbox"/> Professional (Dr/Lawyers/Engineer) <input type="checkbox"/> Others		
Annual Income : <input type="checkbox"/> Below RM36,000 <input type="checkbox"/> RM36,001 to RM72,000 <input type="checkbox"/> RM72,001 to RM100,000 <input type="checkbox"/> RM100,001 to RM299,999 <input type="checkbox"/> RM300,000 and above			
Source of Income: <input type="checkbox"/> Business <input type="checkbox"/> Investment <input type="checkbox"/> Employment <input type="checkbox"/> Savings <input type="checkbox"/> EPF <input type="checkbox"/> Inheritance <input type="checkbox"/> Others			
Religion : <input type="checkbox"/> Islam <input type="checkbox"/> Christian <input type="checkbox"/> Hindu <input type="checkbox"/> Buddhist <input type="checkbox"/> Others		Mother's Maiden Name :	EPF No :

2. INDIVIDUAL – JOINT APPLICANT

Full Name (as in NRIC/ Passport) :		NRIC / Passport / Others :	
Date of Birth (DD / MM / YYYY)	Gender: <input type="checkbox"/> Male <input type="checkbox"/> Female	Relationship with Principal Applicant:	
Occupation :	Telephone No/Mobile No :	Email :	
Annual Income : <input type="checkbox"/> Below RM36,000 <input type="checkbox"/> RM36,001 to RM72,000 <input type="checkbox"/> RM72,001 to RM100,000 <input type="checkbox"/> RM100,001 to RM299,999 <input type="checkbox"/> RM300,000 and above			
Source of Income : <input type="checkbox"/> Business <input type="checkbox"/> Investment <input type="checkbox"/> Employment <input type="checkbox"/> Savings <input type="checkbox"/> Inheritance <input type="checkbox"/> Others			

3. COMPANY / INSTITUTION / ASSOCIATION

Name of Company/ Institution (as per Certificate of Incorporation)		Registration No:	
Nature of Business:		Date of Incorporation DD / MM / YYYY	
Status <input type="checkbox"/> Incorporated in Malaysia, Bumiputra Controlled <input type="checkbox"/> Incorporated in Malaysia, Non-Bumiputra Controlled <input type="checkbox"/> Incorporated outside Malaysia <input type="checkbox"/> Others		Source of Income <input type="checkbox"/> Disposal of non-core business / assets / investments <input type="checkbox"/> Fund raising exercise such as rights issue <input type="checkbox"/> Cash in hand / surplus fund / working capital <input type="checkbox"/> Others	
Authorised Contact Person 1 (Name):	Designation & Department:	Telephone/ Mobile No:	Email:
Authorised Contact Person 2 (Name):	Designation & Department:	Telephone/ Mobile No:	Email:

4. ADDRESS & CONTACT (PRINCIPAL APPLICANT / COMPANY / INSTITUTION)

Correspondance Address :			
Postcode:	Town:	State:	Country:
Contact No:	Mobile No:	Email:	

Business Details	<input type="checkbox"/> Government	<input type="checkbox"/> Non-Government	<input type="checkbox"/> Others
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Name of Employer / Firm / Business:

Business Address

Postcode:	Town:	State:	Country:
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Designation:	Office No:	Fax No:	Company Email:
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If you are using P.O. Box, please indicate reason for using the address:

5. PAYMENT MODE

Cash (a maximum of RM1,000.00 per application) Cheque / Bank Draft No :

Deposit Cash /Online /Telegraphic (please enclose original slip/statement)

*Regular Saving Plan (refer notes & conditions): Maybank Direct Debit MEPS Direct Debit

Bank Account Name: Bank Account No : Savings Current

** Please attach completed Maybank e-Collection Application Form (e-CAF) or Direct Debit Authorization Form*

6. INITIAL INVESTMENT

	Fund Name	Distribution Instruction		RM	
1.		<input type="checkbox"/> Reinvest	<input type="checkbox"/> Payout		
2.		<input type="checkbox"/> Reinvest	<input type="checkbox"/> Payout		
3.		<input type="checkbox"/> Reinvest	<input type="checkbox"/> Payout		
4.		<input type="checkbox"/> Reinvest	<input type="checkbox"/> Payout		
5.		<input type="checkbox"/> Reinvest	<input type="checkbox"/> Payout		
Total					

7. ACCOUNT OPERATING INSTRUCTION :
For Joint Application, please tick (√) for future transaction. If no instruction given, Principal Applicant is recognised as the authorised signatory.

Principal Applicant To Sign Either One To Sign Both To Sign

8. DECLARATION & SIGNATURE (S)

I/ We hereby declare that have received, read and understood,
a) the latest contents of the relevant Prospectus(es)/ Information Memorandum(s) and Supplemental(s) (if any), and agree to abide by the Notes and Conditions as specified.
b) wish to invest in the Fund(s) mentioned above and agree to be bound by the provisions of the Deed(s).
c) declare that the above particulars are true and complete and that no information was withheld that may influence the acceptance of this application.
d) declare that this application is not funded by gains from any unlawful activities.
e) am/are over 18 years old on this application date.
f) am/are aware on the fees and charges that will be incurred directly or indirectly when investing in the Fund.
g) irrevocably consent & authorise TAIM to disclose any informations/documents relating to us from time to time as TAIM deems fit in its absolute discretion.
h) consent to the use of my personal data as per notice (please read the Personal Data Protection Act 2010 under Notes and Conditions).
i) personal data information given by me/us is accurate, complete and not misleading.

Signature is not required for joint applicant who is below 18 years old. In the event there is a change in the Authority To Operate Account when the minor turns 18 years old, a fresh instruction has to be given by the Principal Applicant.

Principal Applicant / Authorised Signatory 1 Date	Joint Applicant / Authorised Signatory 2 (if any) Date	Company Seal or Stamp
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FOR DISTRIBUTOR'S USE ONLY	FOR OFFICE USE ONLY
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<input type="checkbox"/> Consultant <input type="checkbox"/> IUTA <input type="checkbox"/> Branch <input type="checkbox"/> HQ	Name :	Remarks	Attended By/Date
	Code :		Approved By/ Date
	Date :	Received By/Date	Processed By/ Date:

This Investor suitability assessment Form will guide you in choosing the unlisted capital market products that suit your investment objective, risk tolerance, financial profile and investment experience. The information you provide will form the basis of our recommendation. It is important to provide accurate and complete information to ensure that suitable unlisted capital market products are recommended according to your investment needs and objectives.

Note:

- All investors should complete the suitability assessment form (SA), including the first time investor, investor who wants to invest in different category of fund from his/her earlier risk profile result and for same investor who maintain the investment account with different agent.
- Only High Net-Worth Entity may opt out from completing the SA.
- For joint account, the principal applicant answers for Section 1A and 1B will be treated as representing the joint response of both (principal & joint) applicant and both agreed to declare and sign at Section 4.
- Investor who has previously fill-up the SA and who plans to top-up his/her investment in an existing Fund with TAIM is not required to fill-up the SA.

All information will be treated with strictest confidence.

Section 1 – Suitability Assessment

Please circle your scores:

Section 1A – Financial Profile Questions	Score	Section 1B - Suitability Assessment Questions	Score
1. Which range best describes your personal annual income. a. Below RM36,000 b. RM36,001 to RM72,000 c. RM72,001 to RM100,000 d. RM100,001 to RM299,999 e. RM300,000 and above	[2] [4] [6] [8] [10]	2. Which statement best describes your experience and knowledge in investments? a. Very limited (little knowledge) b. Basic knowledge (understand the differences between equities, bonds and fixed income) c. Fair amount of knowledge (aware of different Investment options and their risks) d. Considerable knowledge (understand different investment philosophies) e. Extensive knowledge (complete understanding of investment products and strategies)	[0] [4] [6] [8] [10]
3. How certain are you that your various sources of income will be stable in the future? a. Uncertain b. Somewhat certain c. Certain	[2] [5] [10]	4. What is your risk tolerance level towards losses? a. 0% b. 0% to 2% c. 2% to 5% d. 5% to 10% e. More than 10%	[0] [2] [4] [6] [8]
5. How old are you? a. Below 40 b. 40 to 44 c. 45 to 49 d. 50 to 60 e. 60 above	[10] [8] [6] [4] [2]	6. Suppose over a horizon of two to three years, your portfolio lost 25% of its initial value. What would you do? a. sell all the investment and reinvest more conservatively. b. sell the majority of the investment, moving it to a more conservative investment and allow to regain the value. c. do nothing, realizing that the investment will rebound with the markets. d. add to the investment, in order to take advantage of lower prices.	[2] [4] [6] [8]
7. What is the percentage that this investment will represent as a portion of your total investments? a. More than 75% b. 50% to 70% c. Less than 50%	[0] [5] [10]	8. How much of your investment do you expect to redeem over 3 years? a. 0% b. Up to 25% c. Up to 50% d. Up to 100%	[10] [6] [4] [0]
9. For how many years will you remain invested? a. More than 20 years b. 10 to 20 years c. 5 to 10 years d. 3 to 5 years e. Less than 2 years	[10] [8] [6] [4] [2]	10. How frequent do you wish to review your investment? a. seldom, I want to focus on other things. b. once a year, when I meet with the advisor to review my financial plan. c. once a quarter, because I like to keep on top of things. d. monthly or weekly because I enjoy keeping track of my investments.	[8] [6] [4] [2]

Total the score for questions 1 to 10

Total Score	Tick (✓)	Type of Fund	Your Investment Profile
Below 30 points		-Low Risk	Conservative You are a conservative investor who is looking for low risk investment and at the same time preservation of capital is very important to you. You are prepared to sacrifice higher returns for peace of mind.
30 – 69 points		-Low Risk -Medium Risk	Moderate You are a balanced investor who can accept some risks to your capital. You require an investment that has some potential to grow in value over the medium-to-long term.
Above 70 points		-Low Risk -Medium Risk -High Risk	Aggressive You seek capital growth over the long-term and are prepared to accept higher amount of risk of your potential capital appreciation.

Section 2 - Funds Choice according to Risk Profile

TAIM Funds		
Low Risk	Medium Risk	High Risk
<ul style="list-style-type: none"> ➤ TACP ➤ TAICP 	<ul style="list-style-type: none"> ➤ TAGF ➤ TAIF ➤ TIF ➤ TAIB 	<ul style="list-style-type: none"> ➤ TAACCI ➤ TRFIF ➤ TAREITs
		<ul style="list-style-type: none"> ➤ TACF ➤ TASF ➤ TAHGF ➤ TADO ➤ TASEA ➤ TAGAAF

THIRD PARTY Fund's

Fund Name: _____

Section 3 - Recommendations Section

Investor(s) Investment Choice(s) is/are:

1. 3.

2. 4.

Section 4 - Investor's Declaration

<i>Based on the completion of this form, I/We agree and acknowledge receipt the following (Compulsory)</i>	Yes	No
The adviser has explained and I/we have understood the features and risks of the Fund.	<input type="checkbox"/>	<input type="checkbox"/>
All information disclosed is true, complete and accurate.	<input type="checkbox"/>	<input type="checkbox"/>
I/we acknowledge receipt of a copy of Product Highlight Sheet and the relevant disclosure documents which have been given to me/us.	<input type="checkbox"/>	<input type="checkbox"/>
If Applicable		
I/We decline to provide certain information required for Investor Suitability Assessment Form and that this may adversely affect my/our suitability assessment.	<input type="checkbox"/>	<input type="checkbox"/>
I/We disagree with the above profiling. I/we fully understand the investment risk involved and have decided to purchase another unlisted capital market product that is not recommended by the product distributor.	<input type="checkbox"/>	<input type="checkbox"/>

Principal Applicant/
 Authorised Signatory
 (Company/Institution)
 Name:
 Date:

Joint Applicant/
 Authorised Signatory
 (Company/Institution)
 Name:
 Date:

Company Stamp or Seal

Section 5 - Adviser's (UTC/IUTA) Declaration

I declare that:

- The information provided to me in this Suitability Assessment is strictly confidential and is used for the purpose of fact finding and to facilitate the advisory process.
- I have explained all the required information to you and have attached the relevant documents.
- I am a trained/qualified and licensed UTC/IUTA by Federation of Investment Managers Malaysia (FIMM).

Signature :	
Adviser's Name :	
Adviser's Code :	
Date :	

For Office Use:		
Received By / Date :	Processed By / Date :	Verified By / Date :

WARNING
 THE RECOMMENDATION IS MADE BASED ON INFORMATION OBTAINED FROM THE SUITABILITY ASSESSMENT. INVESTORS ARE ADVISED TO EXERCISE JUDGEMENT IN MAKING AN INFORMED DECISION IN RELATION TO THE UNLISTED CAPITAL MARKET PRODUCT.

NOTE: ONLY INDIVIDUAL INVESTORS ARE REQUIRED TO COMPLETE THE FOLLOWING US INDICIA QUESTIONNAIRE. LEGAL ENTITY(S) OR CORPORATE CLIENTS DO NOT HAVE TO COMPLETE THE FOLLOWING US INDICIA QUESTIONNAIRE.

U.S. Indicia Questionnaire:

Do you possess any of the following U.S. indicia? If yes, please indicate.

- | | | |
|---|-----------------------------|------------------------------|
| 1. U.S. citizen/tax resident? (U.S. passport/green card holder, U.S. taxpayer, etc.) | <input type="checkbox"/> No | <input type="checkbox"/> Yes |
| 2. U.S. place of birth? | <input type="checkbox"/> No | <input type="checkbox"/> Yes |
| 3. U.S. address (residence/mailling/P.O. Box)? | <input type="checkbox"/> No | <input type="checkbox"/> Yes |
| 4. U.S. telephone number? | <input type="checkbox"/> No | <input type="checkbox"/> Yes |
| 5. Standing instructions to pay amounts from TAIM account to an account maintained in the U.S.? | <input type="checkbox"/> No | <input type="checkbox"/> Yes |

NOTE: ALL INVESTORS ARE REQUIRED TO COMPLETE THE FOLLOWING DECLARATION

(Please tick one box only)

I/We have been briefed and I/we hereby confirm I/we understand the FATCA requirements. I/We hereby declare that I am/we are

- | | |
|---|---|
| <input type="checkbox"/> Non-U.S. Individual(s)
with no U.S. indicia | <input type="checkbox"/> Non-U.S. Individual(s) with U.S. Indicia /
Non-U.S. Legal Entity(s)
(provide Form W-8) |
|---|---|

If there is any update to the account information/FATCA status or if updates provided reveal any US indicia or change to FATCA status, I/we hereby agree to notify and furnish TA Investment Management Berhad ("TAIM") with the relevant documentary evidence within 30 days of such change. I/We consent to and authorize TAIM to perform any of the following, if applicable:

1. Withhold any applicable payments in the account
2. Report or disclose all relevant information relating to or arising from the account
3. Terminate (with prior notice) my/our contractual relationship(s) with TAIM

Declaration & Signature

- I/We hereby agree to indemnify TAIM against any actions, proceedings, claims, losses, damages, and costs which be brought against, suffered or incurred by TAIM as a result of any inaccuracy of declarations herein.
- I/We declare that the above particulars given by me/us, is/are accurate, complete and true.

Principal Applicant/ Authorised Signatory Date :	Joint Applicant/ Authorised Signatory Date :
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Note : For further clarification, please consult your tax adviser

PRE-INVESTMENT FORM

ATTENTION UNIT TRUST INVESTORS IMPORTANT!



Your Unit Trust Consultant (UTC) is required to explain all of the following to you

Signing this form does not preclude you from taking action against relevant party/parties under any circumstances.

Do You Know?

Yes(✓)
No(X)

1) You can buy unit trusts either through a Unit Trust Consultant (UTC), Institutional Unit Trust Advisers (IUTA), Corporate Unit Trust Advisers (CUTA) or directly from Unit Trust Management Company (UTMC) or online, but each has different sales charge and level of service. Choose the one that best suits your needs.

2) If you redeem your units in a unit trust fund and then purchase units in another, you will probably have to pay a sales charge. However, if you switch from one fund to another managed by the same UTMC, it is likely that you may not have to pay any sales charge. Ask about switching before you redeem.

3) Different types of unit trust funds carry different levels of risk. Some are higher in risk than others. Ask about the risks before investing in a fund. Make sure you know what your fund is investing in.

4) If you are a first time investor in a UTMC, you may be eligible for cooling-off rights, whereby you can change your mind within six (6) business days after investing and withdraw your unit trust investment. Ask about your eligibility for cooling-off.

5) Your UTC may represent a company that uses a nominee system and your rights as a unit holder may be limited if you invest in unit trust funds through it. If his or her company uses a nominee system, ask if your rights as a unit holder will be limited in any way.

You Should Also Know

a) Only registered UTCs are allowed to sell unit trust funds. Your UTC should show you a valid authorisation card confirming that he/she is registered with the Federation of Investment Managers Malaysia (FIMM).

b) A unit trust fund may only be offered to the public if it is approved by the Securities Commission Malaysia (SC). Go to www.sc.com.my for a list of unit trust funds currently available in the market or call 603-6204 8777 for assistance.

c) When you buy into a unit trust fund, you should be given the latest copy of prospectus for free. Read the prospectus carefully; understand its contents before investing.

d) You may have to pay direct and indirect fees and possibly other charges too. Ask about applicable fees.

e) If you have concerns or complaints about any unit trust fund, you can contact FIMM at 603-2092 3800 (www.fimm.com.my) or the Investor Affairs & Complaints Department of the SC at 603-6204 8999.

f) You should not make payment in cash to the UTC or issue a cheque in the name of UTC.

Investor's Confirmation

I/We confirm that the UTC has explained all the points overleaf to me/us.

1) Applicant

Signature : _____

Name : _____

NRIC/Identification Number/Passport No.: _____

Date : _____

2) Joint Applicant (If applicable)

Signature : _____

Name : _____

NRIC/Identification Number/Passport No.: _____

Date : _____

Unit Trust Consultant's Declaration

I declare that I have explained the points overleaf and the investor(s) understand(s) them.

Signature : _____

Name : _____

NRIC/Identification Number/Passport No.: _____

Registered as UTC of UTMC/IUTA/CUTA^: _____

Date : _____

^Delete where not applicable.

IMPORTANT

NOTES AND CONDITIONS – Please read the following before completing this Form. By applying for units of the Funds managed by TA Investment Management Berhad (“TAIM” or “the Manager”), you are bound by the terms stated below.

1. INSTRUCTIONS

All instructions given or purported to be given via any written or facsimile transmission by the applicant, as named in this Form or otherwise in writing are binding on the applicant. **Duly completed forms or instructions from the applicant are deemed irrevocable.**

2. DOCUMENTS REQUIRED**a) Principal/Joint Applicant – (Cash Investment)**

- > Account Opening Form;
- > A photocopy of principal/joint applicant NRIC, passport or other form of identification.
- > Payment.

b) Principal Applicant – (EPF Investment)

- > Account Opening Form;
- > KWSP 9N (AHL) Form;
- > A clear photocopy of principal NRIC.

c) Company/Institution/Association

- > Account Opening Form;
- > The Certificate of Incorporation;
- > The Memorandum and Articles of Association;
- > Form 24 (List of Shareholders);
- > Form 44;
- > Form 49 (List of Directors);
- > The latest Audited Financial Statement
- > Board Resolution to authorize the investment;
- > A list of authorized signatories & their specimen signatures;
- > The payment;
- > The Company Seal or Stamp, if applicable, must be affixed on this form.

TAIM reserve the right to request additional documents from applicant(s) to support the application.

3. JOINT APPLICANT

- Joint applicant is also required to sign this form.
- A joint applicant who is under 18 years of age need not sign this form. Instead, a photocopy of the NRIC or Birth Certificate is required.
- In the event of the death of a joint applicant, the survivor will be the only person recognised by the Manager as having any title to or interest in the units.
- Units registered in joint names with a minor can be redeemed or transferred by the parent/guardian. On or after the minor's 18th birthday, the parent/guardian may request that the units be transferred to the minor's name. In the event of the death of the parent/guardian, the Executor or Administrator of the deceased's estate will be the only person recognised by the Manager as having title to such units.

4. ACCOUNT OPERATING INSTRUCTION

- The operating instruction empowers the authorised signatory(ies) to operate the account.
- All redemption proceeds will be made payable **only** to the **principal applicant**, unless there is a request by the principal applicant that the proceeds be made payable to the joint applicant.
- Proceeds cannot be paid to any third party's account.

5. PAYMENT

- a) Cash
- Cash may be accepted when the purchase of units is done at TAIM Office subject to a maximum of RM1,000 per application by investor.
 - Investors are advised **NOT** to make payment in cash when purchasing units of the Fund via any IUTAs/ Unit Trust Consultant.
- b) Cheque/bank draft/direct banking/online/telegraphic transfer by investor
- Cheque, original deposit slip, online or transfer statement must be attached to this form. It must clearly state the Applicant's full name, NRIC/ Passport number, Fund Name & Fund Account Number.
 - The applicant may deposit cash/ cheque or make payment via online or telegraphic transfer into our **MAYBANK Account** as below.
 - The cheque/ bank drafts should be crossed and made payable as below.

Fund	Account Number	Payable to
All funds except where indicated otherwise	5143 5640 0987	TAIM CLIENTS' TRUST A/C – COLLECTION
TA CashPLUS Fund	5143 5671 1679	TAIM CLIENTS' TRUST A/C – TACPF
TA Islamic CashPLUS Fund	5643 5150 1744	TAIM CLIENTS' TRUST A/C – TAICPF
Third Party Fund	5143 5672 9223	TAIM CLIENTS' TRUST A/C – IUTA 3RD PARTY

d) The Manager will not accept any investment application which is incomplete or not accompanied by the required documents although payment has been credited into TAIM's account.

6. REGULAR SAVINGS

Investment Restrictions

MBB Direct Debit (DDS) – applicable for Maybank Account holders only	MEPS Direct Debit (DD)
Minimum initial investment of RM100 per fund is required to open an account.	Minimum initial investment of RM100 per fund is required to open an account.
Subsequent minimum investment of RM100 per fund will be deducted from the account as stated in the Maybank e-Collection Application Form (e-CAF).	Subsequent minimum investment of RM100 per fund will be deducted from the account as stated in the OCBC DD Authorization Form.
The completed Maybank e-CAF Form to be submitted together with the Account Opening Form.	The completed OCBC DD Authorization Form to be submitted together with the Account Opening Form.
The NAV price per unit will be based on the direct debit deduction which is on the 8 th of each month, once the service is effected. If 8 th falls on weekend or public holiday then NAV will be based on the next business day. Allow between fifty (50) to eighty (80) days for your DD instructions to be processed and effected by Maybank.	The NAV price per unit will be based on the direct debit deduction which is on the 8 th of each month, once the service is effected. If 8 th falls on weekend or public holiday then NAV will be based on the next business day. Allow between thirty (30) to sixty (60) days for your DD instructions to be processed and effected by OCBC.
Maybank will impose RM0.50 plus additional RM2.00 per transaction. Unsuccessful transaction due to insufficient funds in the investor bank account will be charged a penalty fee of RM10.00. All charges to be borne by investor.	OCBC will impose RM2.00 processing fee per form (introduced by MEPS) for all types of transaction except for Termination and RM1.00 monthly charges per transaction. All charges to be borne by investor.

DD participating banks are as follows:

Local Bank		Foreign Bank	
Hong Leong Bank	HLBB	OCBC Bank	OCBC
Bank Islam	BIMB	Citibank	CITI
CIMB Bank	CIMB	HSBC Bank	HSBC
Public Bank	PBB	Deutsche Bank	DBB
RHB Bank	RHB	Standard Chartered Bank	SCB
Maybank	MBB	Bank of America Merrill Lynch	BOFA
Bank Kerjasama Rakyat	BKRM		

7. COOLING-OFF PERIOD

Investors have the right to request for a cancellation of their investment within 6 business days or any other period as mentioned in the Prospectus(es)/ Information Memorandum(s) and Supplemental(s) (if any) from the day of purchase. For details, please refer relevant Prospectus(es)/ Information Memorandum(s) and Supplemental(s) (if any).

8. DISTRIBUTION INSTRUCTION

- a. The applicant may choose to receive any distribution declared by either reinvesting the distribution amount for additional units in the Fund or by cheque sent to the applicant at the last correspondence address provided to us;
- b. Distributions will automatically be re-invested for additional units in the Fund if:
- No distribution choice is made on the Account Opening Form;
 - The distribution cheque is returned as unclaimed through post;
 - The distribution cheque is uncashed after six (6) months from date of issue;
 - The distribution amount is less than RM50.00 or such other amount as determined by the Manager from time to time;
 - The investment is made through EPF investment scheme; and
 - The investment in third party funds.
- c. Any change in the existing distribution instruction must submit “Update of Unit Holders Particular” form or in writing. Must reach TAIM HQ on or before 25th of the month. If request received after 25th of the month than the change will only take effect from the next distribution point, if any.

9. RIGHT OF THE MANAGER

The Manager shall be entitled to reject any application for units at its sole discretion without having to furnish any reasons for its rejection to investors.

10. NOTES & CONDITIONS RELATING TO THIRD PARTY FUNDS

Please read the following before completing this form. By applying to invest in units of the other unit trust management companies' Funds ("Funds") distributed by TA Investment Management Berhad ("TAIM") as an Institutional Unit Trust Adviser ("IUTA") you are bound by the terms stated below.

- The applicant hereby agrees that TAIM shall be a bare Custodian and not a trustee to hold and act for and on behalf of the applicant in relation to any units of such Funds as maybe invested in from time to time by the applicant and TAIM shall not recognise any trust or equity in respect of the units registered in the name of TAIM at the applicant's request.
- The applicant hereby appoints TAIM as nominee to apply and undertake any authorised transactions on behalf of the applicant in relation to the Funds.
- TAIM will hold the purchased units as registered unit holder for and/ or behalf of the applicant and is authorised to request payment of and receive all dividends and other payments or distributions in relation to the units.
- Transactions for the units may be aggregated and consolidated either daily or from time to time by TAIM with such transactions as placed or sent by TAIM to the relevant manager of the Fund.
- All transactions with respect to the units effected by TAIM for the applicant shall be according to the terms of the relevant Prospectus(es)/ Information Memorandum(s) and Supplemental(s) (if any), deed of the Fund(s) and applicable laws.

11. GENERAL

- This Form must be received by TAIM before **4.00pm** and **before 2.00pm (for investment in Third Party Funds)** and if accepted, will be transacted at the NAV as determined at the end of the Business Day on which this application is received **except for EPF Investment which will be transacted at the NAV as determined at the end of the Business Day on which the EPF disburse the payment to the Manager.**
- For TA CashPLUS Fund and TA Islamic CashPLUS Fund, the transaction of units will be based on NAV at the end of the business day after the application is received. However, for cheque is based on the cheque clearance day.
- No certificates will be issued. Once your application is accepted, you will receive a transaction advice slip from the Manager indicating the number of units allotted and the confirmed NAV within 14 days.
- Applicant utilizing their EPF savings are not allowed to invest in Funds that are not EPF approved.
- All investors will be issued with a Statement of Account together with the Fund's interim and annual report.
- For the wholesale fund(s) investors will be issued with a Monthly Statement of Account, quarterly and annual reports.
- In the case of joint applicants, distribution and tax vouchers will be issued in the name of the first applicant.
- TAIM reserves the right to reassign another qualified person to replace applicant's consultant at any time it deems fit without having to give any reason whatsoever.
- All investors of any particular Fund are eligible to use the Web Facility – TA Investment@A-Click Plus. This web facility will assist investors to administer and track their Unit Trust investments more effectively and efficiently. Log on to www.tainvest.com.my to sign up.
- Cheque processing fee of 50 sen will be charged whenever a cheque is received by a bank for processing, whether for encashment over the counter or for depositing into an account. Applicable also for cheque issued by the bank i.e. banker's cheque/bank draft/cashier's order.
- The service rendered are subject to the 6% GST (goods and service tax), wherever applicable.

12. CONTACT DETAILS

- The onus is on the applicant to notify TAIM of any change in address and contact number immediately to ensure continuity in the receipt of mails from the Manager.
- Transaction advice slips, statements of account and other documents shall be sent to the applicant's correspondence address as detailed in the Form, at the risk of the applicant. In the event of undelivered mails, TAIM reserves the right to send future mails to the business address as detailed in the Form until further notice from the applicant.
- All details shown in the transaction advice slips or statements of account are deemed to be correct unless TAIM is notified in writing of any discrepancy within 14 days of issue or 30 days of issue respectively.

13. INDEMNITY

- The applicant shall fully indemnify TAIM and any of their consultants against any actions, proceedings, claims, losses, damages, costs and expenses which may be brought against, suffered or incurred by any or all of them arising either directly or indirectly out of or in connection with TAIM accepting, relying on or failing to act on any instructions given by or on behalf of the applicant unless due to the willful default or negligence of TAIM.
- The applicant acknowledges and accepts that TAIM has absolute discretion to rely on facsimile confirmation from the applicant and undertakes to indemnify and hold harmless TAIM, its employees and agents against all costs, expenses, losses, damages, claims and demands arising out of relying on the applicant's confirmation.

14. UNIT TRUST LOAN FINANCING RISK DISCLOSURE STATEMENT

Investing in a unit trust scheme with borrowed money is more risky than investing with your own savings.

You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financial circumstances. You should be aware of the risks, which would include the following:-

- The higher the margin of financing (that is, the amount of money you borrow for every ringgit of your own money which you put in as deposit or down payment), the greater the potential for losses as well as gains.
- You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
- If unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral or pay additional amounts on top of your normal installments. If you fail to comply within the time prescribed, your units may be sold to settle your loan.
- Returns on unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are experienced. Whether you eventually realise a gain or loss may be affected by the timing of the sale of your units. The value of units may fall just when you want your money back even though the investment may have done well in the past.

This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore study the terms and conditions carefully before you decide to take a loan. If you are in doubt about any aspect of this risk disclosure statement or the terms of the loan financing, you should consult the institution offering the loan.

15. MONEY LAUNDERING STATEMENTS

The applicant hereby warrants that:-

- The applicant is the underlying principal of the Account (where applicable)
- No person other than the applicant has or will have any interest in the Account (where applicable); and
- All monies as may be paid to TAIM from time to time shall come from a legitimate (and not illegal) source
- The applicant agrees to provide all such information and documents as may be necessary to verify the applicant's identity and do all such acts and things as may be necessary to enable TAIM to comply with all applicable anti-money laundering and counter financing of terrorism (AML/ CFT), and know-your-customer laws, rules and regulations (whether in Malaysia or elsewhere). The applicant agrees that TAIM shall not be liable or responsible in anyway whatsoever and shall be held harmless against any loss arising as a result of or in connection with any delay or failure to process any application or transaction if such information or documents requested by TAIM have not been promptly provided by the applicant to TAIM.
- TAIM reserves the right to terminate the relationship if any documents requested pursuant to the AML/ CFT requirements are not received within 14 business days. In the event of termination, units will be redeemed at the closing NAV price on the 15th business day.

16. PERSONAL DATA PROTECTION DATA ACT 2010 (Notice Pursuant to Section 7)

The Personal Data Protection Act 2010 (hereinafter referred to as the "Act"), which regulates the processing of personal data in commercial transactions, applies to TA Investment Management Berhad (hereinafter referred to as "TAIMB", "our", "us" or "we"). For the purpose of this personal data notice, the terms "personal data" and "processing" shall have the meaning prescribed in the Act.

This personal data notice applies to any person whose personal data is processed by TAIMB.

- This personal data notice serves to inform you how your personal data is being processed by or on behalf of TAIMB.
- The personal data processed by us may include your name, contact details, email address, username, password, information of any past breaches of or convictions under any law, the relevant services provided to you and any other personal data required for the purposes set out in paragraph 3 below.
- Subject to the relevant laws, including the Securities Industry (Central Depositories) Act 1991, Capital Markets and Services Act 2007, in respect of depositors' information maintained by Bursa Malaysia Depository Sdn.Bhd., TAIMB may use your personal data for the following purposes ("Purposes"):
 - To enable it to discharge its duties and obligations under the Capital Markets and Services Act 2007, the Securities Commission Act 1993, the Securities Industry (Central Depositories Act) 1991, any other written law, the rules of Bursa Malaysia Berhad and its related companies (hereinafter collectively referred to as "Bursa Malaysia") or any co-operation arrangement with any relevant authority or any other stock or derivatives exchange, clearing house, securities depository authorised by the relevant local or foreign laws;
 - To enable it to discharge its contractual obligations;
 - To provide investor and other capital market education events and activities;
 - To enable the resolution of a concern or complaint;
 - To create directories or data bases whether for publication or not;
 - To provide on going information about events and programs, our products and services to people that we believe may be interested in such event, programs, products and services;
 - To provide services;
 - To research, develop and improve our events, programs, products and services; and
 - For any other purpose that is incidental or ancillary or in furtherance to the above purposes.
- Your personal data is collected from various sources, including information you have provided us, information from third parties and information in the public domain.

5. You may access and request for correction of your personal data. Please contact us using any of the following modes if you have any enquiries or complaints in respect of your personal data:

Designated Contact Person	Customer Service
Mailing address:	TA Investment Management Berhad 23 rd Floor Menara TA One, 22 Jalan P Ramlee 50250 Kuala Lumpur
Telephone No.:	(603) 2031 6603
Fax No.:	(603) 2031 4479
E-mail address:	tainvestment@ta.com.my

In accordance with the Act:

- (a) we may charge a fee for processing your request for access; and
 - (b) we may refuse to comply with your request for access or correction in accordance with the Act.
6. Subject to relevant laws, your personal data may be disclosed to:
- (a) our parent company, subsidiaries, related and associated companies;
 - (b) our licensees, co-organisers of events, business partners and service providers;
 - (c) Bursa Malaysia, Securities Commission, Federation of Investment Managers Malaysia, Bank Negara Malaysia, the Police, Malaysian Anti- Corruption Commission, Companies Commission of Malaysia, Registrar of Societies and other supervisory, governmental or relevant authority;
 - (d) any other stock or derivatives exchange, clearing house, securities depository authorised by the relevant laws;
 - (e) the public at large by publishing the same in accordance with the relevant rules of Bursa Malaysia / Securities Commission / Federation of Investment Managers Malaysia;
 - (f) auditors, professional firms or entities; and
 - (g) any other person which TAIMB may think fit,
- notwithstanding that any such persons may be outside Malaysia, for any of the above Purposes or any other purpose for which your personal data was to be disclosed at the time of its collection or any other purpose directly related to any of the above Purposes or where such disclosure is required or authorised by law or by the order of a court.
7. Unless otherwise specified by us at the time the personal data is collected, it is obligatory that you supply us with the personal data requested for by us.
8. If you fail to supply to us the above personal data, we may not be able to carry out the Purpose for which you have provided us the personal data and in addition, you may be in breach of the requirements of the relevant rules of Securities Commission / Federation of Investment Managers Malaysia.
9. Your personal data may be transferred to a place outside Malaysia.

By providing to us your personal data, you hereby consent to the processing of your personal data in accordance with all of the foregoing.

Updated v3.10.2014