

PRESS RELEASE

For immediate release

TA Investment Launches a New Fund – TA Spectrum Fund

Kuala Lumpur, 24th August 2021 – TA Investment Management Berhad (“TAIM”) has officially launched a new closed-ended fund, the TA Spectrum Fund (“the Fund”).

TA Spectrum Fund, which is exclusively distributed by OCBC Bank, aims to provide income* whilst preserving capital** on the Maturity Date. The Fund has a tenure of three (“3”) years and will be on offer over the period from 18th August 2021 until 1st October 2021.

“The launching of this new fund in 2021 represents a major milestone in our partnership with OCBC Bank, which has been in partnership with us since 2005,” said Wong Mien, the Chief Executive Officer of TAIM.

“We are in a completely different situation from this time last year, with some countries accelerating on the path to recovery. Meanwhile, market valuations have reached record highs and liquidity remains a major driving force behind the market.”

“However, many risks to a full recovery persist. The emergence of new and yet-unknown virus variants, inflation fears, tapering and rate hikes are just some of the possible speedbumps we see. Clearly there is a lot of uncertainty and volatility still.

“To address these concerns, we have curated the TA Spectrum Fund to help manage some of the volatility while still providing an avenue for investors achieve potential returns through annual pay-outs¹ with minimal downside risks,” said Wong Mien.

The Fund’s key feature is its Capital Preservation² approach. It features a conservative investment strategy where its primary goal is to preserve capital and avoid potential losses in the portfolio by investing in Fixed Income Instruments such as money market instruments issued by Malaysian financial institutions with a good quality rating of at least “A” by RAM or equivalent and Bonds with at least “A” by RAM or equivalent with tenures that will match or be similar to the tenure of the Fund.

“Through this approach, your capital is preserved even when markets are not doing well, as the downside risks are mitigated⁴ through this feature,” added Wong Mien.

With the downside managed, the Fund is also designed to take advantage of market movements, both bullish and bearish to get the best of both worlds. Through dynamic asset allocation³, the Fund is able to increase its effective exposure to equities during a bull market as equities may perform better during periods of market recovery of an equities rally. The Fund’s structured investment can adjust its portfolio of underlying assets to a bullish position of 60% exposure to equities and 40% to multi-asset strategies.

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Conversely, in a bear market scenario, the Fund can increase its structured investment's allocation³ to multi-asset strategies as those will have the potential to outperform when the equity market is lagging behind. The defensive position of the portfolio will thus have 60% effective exposure to multi-asset strategies and 40% to equities.

"To generate these potential returns, we have meticulously combed through the asset universe to select two underlying assets, an equity index and an award-winning multi-asset strategy," said Wong Mien.

The Fund's underlying equity index is the S&P 500 Low Volatility Daily Risk Control 5% Index, which is an index comprising the 100 least-volatile stocks from the wider S&P 500. With the inclusion of this index, the Fund can potentially limit risk without compromising on gains.

The other underlying asset of the Fund is the Allianz Strategy 15, an award-winning multi-asset strategy which invests in international equity markets as well as the market for euro bonds.

"Through the capital preservation² approach and dynamic market movement-based asset allocation³, we hope that the Fund will serve as an effective tool for investors who wish to invest and navigate a volatile market while still minimising the downside risks⁴," added Wong Mien.

Commenting on the distribution of the TA Spectrum Fund, OCBC Bank (Malaysia) Berhad Head of Wealth Management Mr Reuben Tan said those wishing to find out about the Fund may visit any of the Bank's branches nationwide.

"We have been pleased with the response to the predecessors of this Fund and are looking forward to making this one available to investors who would find it suitable for meeting their investment needs," he said.

Source: TA Investment Management Berhad and OCBC Bank (Malaysia) Berhad, as of 24 August 2021

Note:

*The Fund will invest in options and/or structured warrants to provide potential annual returns. Income distribution will be paid to investors by way of cash deposit to a bank account held in the investor's name.

Investors are advised that this Fund is neither a capital guaranteed nor a capital protected fund. The capital will be preserved by investments in Fixed Income Instruments. **Consequently, the return of capital is SUBJECT TO the credit and default risk and currency risk for the investment in Fixed Income Instruments.

¹ Distribution of annual pay-outs is subject to the availability of income.

² Provided that no fixed income instrument defaults during the tenure of 3 years. The Fund is neither a capital guaranteed nor a capital protected fund.

³ The asset allocation for two Underlying Assets where the option / structured warrant is based on.

⁴ Minimum zero returns on each observation date even though the underlying assets have negative return. The payout of the options and/or structured warrants will be based on the performance of the Underlying Assets on the Observation Date. The Manager will declare income distribution, if any, based on the payout of the options and/or structured warrants.

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Profile of TA Investment Management Berhad

TA Investment Management Berhad (TAIM), a subsidiary of TA Securities Holdings Berhad (TASH), was incorporated on 17 April 1995 and commenced operations on 1 July 1996. As of 31st July 2021, TAIM has total Assets Under Management (“AUM”) of RM11.39 billion, being a combination of unit trust funds and direct mandate portfolios under its management.

TAIM has more than twenty years (“20”) years of experience in managing unit trust funds with a total of thirty-nine (“39”) funds currently under its management, of which eight (“8”) are Shariah-compliant funds and thirty-one (“31”) are conventional funds. Its investment portfolio comprises a wide spectrum of funds ranging from low to high risk and caters to the different financial needs and objectives of investors.

TAIM funds are invested in both the local and international markets by an experienced investment team. These unit trust funds are distributed directly to customers as well as through appointed Institutional Unit Trust Advisers (IUTAs) and authorised consultants.

For more information, please call 1-800-38-7147 or visit our website at www.tainvest.com.my.

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